

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street) ▼

1201 F Street, NW Suite 450

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00111559

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☒ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cecilia Guarin

Signature of Treasurer

Cecilia Guarin

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
09 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2013		240800.69
(b) Cash on Hand at Beginning of Reporting Period.....	196512.06	
(c) Total Receipts (from Line 19)	33009.80	275262.83
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	229521.86	516063.52
7. Total Disbursements (from Line 31)	61500.00	348041.66
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	168021.86	168021.86
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M M / D D / Y Y Y Y
09 01 2013

To:

M M / D D / Y Y Y Y
09 30 2013
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

24962.03

175119.88

(ii) Unitemized

8047.77

95142.95

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

33009.80

270262.83

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

33009.80

270262.83

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

5000.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

33009.80

275262.83

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

33009.80

275262.83

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	61500.00	348000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	41.66
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	41.66
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	61500.00	348041.66
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	61500.00	348041.66

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	33009.80	270262.83
34. Total Contribution Refunds (from Line 28(d))	0.00	41.66
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	33009.80	270221.17
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : 11932064

Amount of Each Receipt this Period

20.83

Full Name (Last, First, Middle Initial)

B. Thomas T. Chung

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11324357180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. David S Alterman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11324367180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

104.15

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard M Dart

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11324397180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Douglas Nieters

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11324477180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. David A Cantor

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11324597180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

226.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11324657180

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11324867180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11324897180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

583.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 9 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gerald M Lodge

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11324997180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Thomas H Ritchie

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325067180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Marcia L Kessler

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325087180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 10 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Adam B Plissner

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR11325137180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Michael P Mulholland

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR11325147180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Ira A Negin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR11325177180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

124.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Carlos Onis

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325207180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. John Craig Oxman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325217180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Timothy E. Perry

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325247180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

440.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bernard S Loreda

Mailing Address 11 Madison Ave

City State Zip Code
New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325297180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Michael C Speller

Mailing Address 11 Madison Ave

City State Zip Code
New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325307180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Ana R Byrne

Mailing Address 11 Madison Ave

City State Zip Code
New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325337180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

184.50

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey A. Cohen

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.51

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325397180

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. John C Robertshaw

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325437180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Patrice Aitken

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325447180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

243.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. James D Garrity

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.39

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11325457180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Lewis H Wirshba

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11325467180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Richard A Hightower

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11325477180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

422.58

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 15 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael S Isikow

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325507180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Steven H Garnett

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325517180

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Timothy J Croak

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325537180

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

165.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 16 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Paliotta

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.39

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325657180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Paul J O'Keefe

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325677180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. John E Cogan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1058.76

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11325757180

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

343.80

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 17 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. William Lau

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325837180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Andrew M Hutcher

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11325967180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Philip S Vasan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1617.76

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11326087180

Amount of Each Receipt this Period

294.12

P/R Deduction (\$147.06 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

377.44

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 18 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jill E Ford

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326137180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Steven K Geller

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326187180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Bruce W Ling

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326197180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

321.40

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Peter V Meyer

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11326227180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Louis J Impellizeri

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11326277180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Thomas E Fusco

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11326307180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 20 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Colleen A Graham

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326337180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Daniel H Miller

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326347180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Edmund F Taylor

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3964.44

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326367180

Amount of Each Receipt this Period

588.24

P/R Deduction (\$294.12 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

701.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 21 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gautam S Gujral

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.39

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326497180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Constance V Sailer

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326537180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Steven M Kaiman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11326657180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

267.82

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 22 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brent A Patry

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11326677180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Patrick C Gorman

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11326697180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Emmet A Murphy

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11326737180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Steven C Mahoney

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.73

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11326777180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. David J Barrish

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11326897180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Maria Chiodi

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11327007180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

168.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 24 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Amy P Berkowitz

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11327197180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Jodi DeVito

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11327237180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Skip S Seeder

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.42

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11327257180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

297.58

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 25 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. William E. Beck

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11327577180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Todd M. Orlich

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11327627180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Mike D. Custar

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11327777180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

226.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 26 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark Barres

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11327817180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. William I Woodson

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11327827180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Sean T Flynn

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11327887180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

168.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 27 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Yong Yan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11327917180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Joshua A. Lukeman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11327947180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Jiawei Zhang

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11328447180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 28 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. George E. Dale

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11328457180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Thomas A. Finlan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11328537180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Douglas A Simons

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11328587180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. James M Bernard

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11328697180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Jeffrey C. Ponko

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11328897180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Brent D. Robbins

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329007180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

154.74

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert C. Allsbrook

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329037180

Amount of Each Receipt this Period

25.00

P/R Deduction (\$12.50 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Anthony P Brookfield

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.78

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329087180

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Dwight A.L. Skerritt

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329297180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

96.08

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. George F. Kasten

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329357180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Steven J Reis

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329417180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Russell J Burns

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329437180

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

267.84

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mohamed Subrati

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

299.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11329587180

Amount of Each Receipt this Period

33.32

P/R Deduction (\$16.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Judith E. Smith

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11329597180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Thomas Prevost

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR11329667180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

158.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329757180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Charles A Skowron

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329777180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Jamie L. Cook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR11329787180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

226.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 34 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sean C. Gray

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR11329957180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Andrew B Federbusch

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR11329997180

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Edward J McCann

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR11330037180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

213.08

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 35 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shawn J Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11330177180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Kevin E Naughton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11330267180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Steven M. Bock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR11330327180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 36 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. James L Amine

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12364277180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Nicole S Arnaboldi

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12364287180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Robert L Basso

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12364347180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

559.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 37 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen R Dunne

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12364817180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Matthew W Gorman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12365067180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Stephen E. Gray

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1562.50

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12365087180

Amount of Each Receipt this Period

312.50

P/R Deduction (\$156.25 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

711.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 38 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Louise Guarneri

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

647.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12365187180

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Stephen H Haratunian

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12365227180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Robert Jain

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12365327180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

617.62

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Eric Keatley

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12365397180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Bruce Klein

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12365447180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Ramanujachary Kumanduri

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12365507180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

470.22

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 40 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen McMorran

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12365777180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Timothy F Michel

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12365827180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Stefano Natella

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12365907180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

363.06

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Moshe Orenbuch

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

428.56

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 30 2013

Transaction ID : PR12365947180

Amount of Each Receipt this Period

107.14

P/R Deduction (\$53.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Robert N. Pomroy

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 30 2013

Transaction ID : PR12366027180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Joseph E Reece

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 30 2013

Transaction ID : PR12366067180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

523.78

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 42 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph R Sevita

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12366237180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Stuart F Smith

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12366367180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. James F. Whitney

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.34

Date of Receipt

09 / 30 / 2013

Transaction ID : PR12366657180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

180.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 43 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anthony Blasi

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR12691227180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Dean Wilson Ervin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2285.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR12691397180

Amount of Each Receipt this Period

571.42

P/R Deduction (\$285.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Michaelle I. Burstin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR12692257180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

755.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen D Simberg

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12692677180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Timothy D Bock

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.03

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR12692777180

Amount of Each Receipt this Period

133.34

P/R Deduction (\$66.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Victoria A Longo

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17871577180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

299.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 45 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard S Jaffe

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17876047180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Alastair J Cairns

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17884497180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Christopher Carter

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17884507180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

168.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Frank R Malarkey

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17884607180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Benjamin A Ruf

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17884657180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Peter O Skoglund

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR17884667180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 47 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. William J Szilasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

09 / 30 / 2013

Transaction ID : PR17884687180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Andrew J Yao

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR17884727180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Richard Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22263757180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

196.40

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 48 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michele D Cubic

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.46

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22263817180

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Denise A Fiallo

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22263867180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. William F Hughes

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22263947180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

201.92

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 49 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mara B Lipner

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR22264057180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Todd Macy

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR22264077180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Scott C. Miller

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR22264127180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 50 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael S Nies

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR22264167180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Shantha Ozgen

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR22264187180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Hari Raghavan

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR22264217180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

124.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 51 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. David W Smythe

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

468.72

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22264277180

Amount of Each Receipt this Period

52.08

P/R Deduction (\$26.04 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Daniel L Eggers

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22264417180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Henry N Goodman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.78

Date of Receipt

09 / 30 / 2013

Transaction ID : PR22264437180

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

224.34

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 52 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Jakob

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR22264457180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Matthew H. Wosk

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR22264517180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Robert J Bernstone

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR22336817180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 53 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anthony K Kontoleon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR22336837180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR22336847180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Mark D Callahan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR22620777180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

124.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy J Scanlon

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33028687180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Christopher T Wagner

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33052507180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Kyle C Baumgartner

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

236.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33061347180

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

210.82

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 55 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sean T Brady

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

947.34

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33061377180

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Robert E Durham

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

947.34

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33061467180

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Peter J Feeney

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

947.34

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33061477180

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

315.78

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Susan R Felner

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
 09 / 30 / 2013

Transaction ID : PR33061487180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Charles T McKinney

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
 09 / 30 / 2013

Transaction ID : PR33061767180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Theodore J Piper

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
 09 / 30 / 2013

Transaction ID : PR33061897180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

184.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael A Proskin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33061917180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Gautam K Ahuja

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583467180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Garry T Collins

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583507180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

168.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Solomon Fried

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.02

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583537180

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Mary Gilmartin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.02

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583547180

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. James F Martin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583557180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

126.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 59 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Henry B Moog

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33583567180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Bruce B. Anderson

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.78

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33583597180

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Lauren E Barnes

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.78

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33583627180

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

100.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 60 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joo Y Boe

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.78

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583677180

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Gregory C Dietrich

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583777180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. James Doherty

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

529.38

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583787180

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

159.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas J Drake

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.78

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583797180

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Amy B Hudson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583927180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Ishan Lal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33583977180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

112.74

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 62 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert B Perlman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33584047180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Alan R. Reifenberg

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.39

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33584057180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Nathan P Romano

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR33584097180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

369.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Alan K Chen

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

281.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33683237180

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Kenneth R Deasy

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

281.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR33683247180

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Joseph K Kieffer

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR38478657180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

133.94

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 64 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ben Oren

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR38478737180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Timothy P Bentham

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR39315807180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Edin Buturovic

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR39315867180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

267.82

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 65 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Howard Chen

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39315887180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Brian F Connors

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39315917180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Margaret M Gage

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316027180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

154.74

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 66 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jason D Halio

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316127180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Andrew L Hubbard

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316177180

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. David H Katz

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316217180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

238.08

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jon M Klein

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316247180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Sara Lander

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316277180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Marc A Mansourian

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316287180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

166.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael F. Marco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316297180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Edward McAlea

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316327180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Christopher H Mulshine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316377180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

208.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephanie M Ruiz

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316457180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Parshu Shah

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316487180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Jerry L Smith

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316527180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

196.40

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 70 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mahesh Swaminathan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316597180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Michael E Tittmann

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316607180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. James B. Walker

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316657180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 71 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard S Zinman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

757.86

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR39316697180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Gardy A Berthoumieux

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824157180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Anthony C Bowe

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824177180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

309.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 72 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. John L. Bown

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824187180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. John N Dabbs

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.51

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824247180

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Anthony A Dertouzos

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824267180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

183.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 73 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard S Dutton

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43824277180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Norman Epstein

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43824297180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Robert J. Franz

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43824317180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

124.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 74 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Douglas T Healy

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824377180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Kenneth D. Hoffman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824397180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Charles C Jellinghaus

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824417180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 75 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey D Jennings

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824427180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Michael G Kassab

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824457180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Guy C. Kearsley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824477180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

333.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 76 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph Leo

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824527180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Thomas M Lynch

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824597180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Anju Mahajan

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824607180

Amount of Each Receipt this Period

25.00

P/R Deduction (\$12.50 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

108.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 77 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Daniel C Mathisson

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824627180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Philip J Moineau

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824667180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Bernard W. Moody

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

09 / 30 / 2013

Transaction ID : PR43824677180

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

291.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 78 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas J Mullooly

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43824697180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Peter Norley

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.42

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43824727180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Walter Richard O'Connell

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43824737180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

226.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 79 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sondra Rivers

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824787180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Todd C Sandoz

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824817180

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Jonathan S Satran

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824827180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 80 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ryan B Sheftel

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824857180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Douglas J Trauber

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824867180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Brian J Walker

Mailing Address 11 Madison Ave

City
New YorkState Zip Code
NY 10010FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLCOccupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824897180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

154.74

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 81 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lara J. Warner

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824907180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Eric A. Federman

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824957180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Ivan Hrazdira

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR43824977180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

482.12

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 82 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robin M Rankin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR43825077180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Robert H Huntington

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR56510767180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. David Coulam

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR56510797180

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

249.96

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 83 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard J DellaRusso

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR56510807180

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Karen S Wallace

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR56510837180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Diane E Wright

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

228.56

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR60575617180

Amount of Each Receipt this Period

57.14

P/R Deduction (\$28.57 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

170.22

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 84 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Scott W Soules

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR67443907180

Amount of Each Receipt this Period

277.78

P/R Deduction (\$138.89 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Wallace S Cheng

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR67967987180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. George Chrisafis

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR67967997180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

420.62

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 85 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Daniel Collins

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.50

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968007180

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Elizabeth A Danilek

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968027180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Dean J. Decker

Mailing Address 11 Madison Ave

City
New YorkState
NYZip Code
10010FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968037180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

205.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 86 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Allegra S Driscoll

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968057180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Jens J Ernberg

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968077180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Nigel K Faulkner

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968087180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

214.26

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 87 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Paul E Germain

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1142.82

Date of Receipt

09 / 30 / 2013

Transaction ID : PR67968127180

Amount of Each Receipt this Period

285.70

P/R Deduction (\$142.85 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Nelson M Louie

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR67968247180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Todd Jay Mitty

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

09 / 30 / 2013

Transaction ID : PR67968297180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

499.96

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 88 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Geoffrey C Schiciano

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968377180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Gabrielle A Sessa

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968387180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Roger Wacker

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.71

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67968447180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

214.26

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 89 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Oscar Bleetstein

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67969197180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Nikki Covino

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.73

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67969257180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Frank Mangiafico

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67969317180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

214.26

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 90 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher S Scaring

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR67969387180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Frank T D'Alessio

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR68090967180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Frank De Maria III

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR68090977180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

285.68

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 91 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brian T Handy

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR68091017180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Ignacio M Machado

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR68091097180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

c. Christopher L Young

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.42

Date of Receipt

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : PR68091177180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

285.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark S Simonian

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M / D D / Y Y Y Y Y
 09 30 2013

Transaction ID : PR68091197180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Jay Kim

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.56

Date of Receipt

M M / D D / Y Y Y Y Y
 09 30 2013

Transaction ID : PR71193547180

Amount of Each Receipt this Period

357.14

P/R Deduction (\$178.57 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Peter James McGough

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.42

Date of Receipt

M M / D D / Y Y Y Y Y
 09 30 2013

Transaction ID : PR71312837180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

642.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ronald David Parrish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR71430527180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Eugene E Byrne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75086337180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Richard Jon Kinderlerer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75086357180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

253.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 94 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jessica A Mandel

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

09 / 30 / 2013

Transaction ID : PR75086367180

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. David E Delaney

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.51

Date of Receipt

09 / 30 / 2013

Transaction ID : PR75086437180

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. John D Edwards

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.51

Date of Receipt

09 / 30 / 2013

Transaction ID : PR75086457180

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

173.20

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 95 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher W Gaertner

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

647.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR75086467180

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Charles M Shaffer

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.51

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR75086507180

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Neil H Sherman

Mailing Address 11 Madison Ave

City State Zip Code
 New York NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Credit Suisse Securities (USA) LLC

Occupation
 Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

647.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013

Transaction ID : PR75086517180

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

294.10

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 96 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Darryl J. Burke

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

09 / 30 / 2013

Transaction ID : PR75328917180

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Steven John Crabb

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.50

Date of Receipt

09 / 30 / 2013

Transaction ID : PR75328927180

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Scott E Wendelin

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.50

Date of Receipt

09 / 30 / 2013

Transaction ID : PR75329027180

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

250.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 97 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. James M New

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

299.97

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75329067180

Amount of Each Receipt this Period

66.66

P/R Deduction (\$33.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Marijane Zona

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

299.97

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75329087180

Amount of Each Receipt this Period

66.66

P/R Deduction (\$33.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Michael G Clark

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐
☐

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1142.80

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75732687180

Amount of Each Receipt this Period

285.70

P/R Deduction (\$142.85 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

419.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 98 OF 107

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas C Davidov

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75732697180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Mary Beth Fiske

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75732717180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Darren A Malcolm

Mailing Address 11 Madison Ave

City
New York

State Zip Code
NY 10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities (USA) LLC

Occupation
Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75732737180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

285.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 99 OF 107

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Frederick Nadd-Aubert

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75732767180

Amount of Each Receipt this Period

71.42

P/R Deduction (\$35.71 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Lawrence M Young

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

571.36

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : PR75732797180

Amount of Each Receipt this Period

142.84

P/R Deduction (\$71.42 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

214.26

24962.03

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 100 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Roskam For Congress Committee

Mailing Address P. O. Box 713

City Wheaton	State IL	Zip Code 60187
-----------------	-------------	-------------------

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Peter RoskamOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: IL District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11894770

Amount of Each Disbursement this Period

4000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Friends For Gregory Meeks

Mailing Address 153-01 Jamaica Ave. Suite 535

City Jamaica	State NY	Zip Code 11432
-----------------	-------------	-------------------

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Gregory MeeksOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: NY District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11896281

Amount of Each Disbursement this Period

2500.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

C. Cotton For Congress

Mailing Address PO Box 379

City Dardanelle	State AR	Zip Code 72834
--------------------	-------------	-------------------

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Tom CottonOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: AR District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11897913

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 101 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Hoyer For CongressMailing Address 700 13th Street, Nw
Suite 600

City Washington State DC Zip Code 20005

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Steny HoyerOffice Sought: ☒ House
☐ Senate
☐ President
State: MD District: 05Disbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898191

Amount of Each Disbursement this Period

5000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Mike Crapo For Us Senate

Mailing Address P.O. Box 1948

City Boise State ID Zip Code 83701

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Michael CrapoOffice Sought: ☐ House
☒ Senate
☐ President
State: ID District:Disbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898233

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

C. ERICPACMailing Address 25 E MAIN STREET
SUITE 200

City RICHMOND State VA Zip Code 23219

Purpose of Disbursement
Contribution to PAC

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898234

Amount of Each Disbursement this Period

2500.00

Contribution to PAC

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

8500.00

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 102 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Schock For Congress

Mailing Address PO Box 10555

City	State	Zip Code
Peoria	IL	61612

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Aaron Jon Schock

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
----------------	--	--

State: IL District: 18

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898235

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Jobs, Opportunities and Education PACMailing Address 50 E Street SE
Suite 1

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution to PAC

Candidate Name

Office Sought:	<input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
----------------	---	--

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898236

Amount of Each Disbursement this Period

5000.00

Contribution to PAC

Full Name (Last, First, Middle Initial)

C. Huizenga For Congress

Mailing Address 441 Williams Court

City	State	Zip Code
Zeeland	MI	49464

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Bill Huizenga

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
----------------	--	--

State: MI District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898237

Amount of Each Disbursement this Period

2000.00

Contribution to Candidate

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

8000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 103 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Levin For Congress

Mailing Address PO Box 37

City	State	Zip Code
Roseville	MI	48066

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Sander LevinOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: MI District: 12

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898239

Amount of Each Disbursement this Period

2500.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Friends Of Jim Clyburn

Mailing Address Post Office Box 12567

City	State	Zip Code
Columbia	SC	29211

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Jim ClyburnOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: SC District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898240

Amount of Each Disbursement this Period

5000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

C. Montanans For Tester

Mailing Address PO Box 1135

City	State	Zip Code
Helena	MT	59624

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Sen. Jon TesterOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: MT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898241

Amount of Each Disbursement this Period

2500.00

Contribution to Candidate

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

10000.00

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 104 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert Aderholt For Congress

Mailing Address P. O. Box 1158

City	State	Zip Code
Haleyville	AL	35565

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Robert B. AderholtOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: AL District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898736

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Cleaver For Congress

Mailing Address 4801 Main Street, Suite 1000

City	State	Zip Code
Kansas City	MO	64112

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Emanuel Cleaver IIOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: MO District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898737

Amount of Each Disbursement this Period

3000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

C. Walden For Congress

Mailing Address PO Box 1091

City	State	Zip Code
Hood River	OR	97031

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Greg P. WaldenOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: OR District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898738

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

5000.00

--

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 105 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Tiberi For CongressMailing Address 2931 E Dublin Granville Road
Suite 190

City Columbus State OH Zip Code 43231

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Patrick TiberiOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: OH District: 12

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898739

Amount of Each Disbursement this Period

5000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Mchenry For Congress

Mailing Address PO Box 1406

City Hickory State NC Zip Code 28603

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Patrick McHenryOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: NC District: 10

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898740

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

C. Neugebauer Congressional Committee

Mailing Address PO Box 54175

City Lubbock State TX Zip Code 79453

Purpose of Disbursement
Contribution to Candidate

Candidate Name

Rep. Randy R. NeugebauerOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: TX District: 19

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	16	/	2013

Transaction ID : 11898741

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

7000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 106 OF 107

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Manchin For West Virginia

Mailing Address PO Box 5202

City	State	Zip Code
Charleston	WV	25361

Purpose of Disbursement
Contribution to Candidate

011

Candidate Name

Sen. Joe Manchin IIICategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2018
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: WV District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		16		2013

Transaction ID : 11898742

Amount of Each Disbursement this Period

2500.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

B. Hudson For Congress

Mailing Address PO Box 5053

City	State	Zip Code
Concord	NC	28027

Purpose of Disbursement
Contribution to Candidate

011

Candidate Name

Rep. Richard L. Hudson Jr.Category/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: NC District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		16		2013

Transaction ID : 11898743

Amount of Each Disbursement this Period

1000.00

Contribution to Candidate

Full Name (Last, First, Middle Initial)

C. Friends Of Jim Clyburn

Mailing Address Post Office Box 12567

City	State	Zip Code
Columbia	SC	29211

Purpose of Disbursement
Contribution to Candidate

011

Candidate Name

Jim ClyburnCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: SC District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		16		2013

Transaction ID : 11898744

Amount of Each Disbursement this Period

2500.00

Contribution to Candidate

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

6000.00
